



UNITED STATES PATENT AND TRADEMARK OFFICE



SCN/EPG
86349

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/049,988	07/15/2002	1646	1644	GKS-102.0(7911/86349)	44	50	4

CONFIRMATION NO. 2621

Welsh & Katz
120 South Riverside Plaza
22nd Floor
Chicago, IL 60606-3913

FILING RECEIPT



Date Mailed: 07/22/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jurgen Markl, Gau-Bischofsheim, GERMANY;
Benjamin Altenhein, Wiesbaden, GERMANY;
Bernhard Lieb, Strasse, GERMANY;
Thomas Stiefel, Stuttgart, GERMANY;

RECEIVED

AUG 20 2003

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP00/08129 08/21/2000

Foreign Applications

GERMANY 19939578.0 08/20/1999

TECH CENTER 1600/2900

RECEIVED
2003 JUL 28 AM 9:00
WELSH & KATZ, LTD

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Nucleic acid molecule comprising a nucleic acid sequence which codes for a haemocyanin, and comprising at least one intron sequence

Preliminary Class

536

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

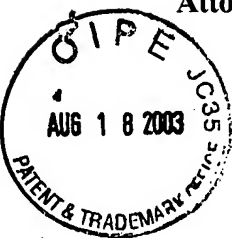
This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

1646



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 20 2003

TECH CENTER 1600/2900

In re application of: Jurgen Markl et al.

Serial No.: 10/049,988

Group No.: 1646

Filed: July 15, 2002

Examiner: Unknown

For: **NUCLEIC ACID MOLECULE COMPRISING A NUCLEIC ACID SEQUENCE WHICH CODES FOR A HAEMOCYANIN, AND COMPRISING AT LEAST ONE INTRON SEQUENCE**

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

Error in

Correct data

1. ☐ Applicant's name
2. ☒ Applicant's (Bernhard Lieb) City
3. ☐ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

- 1.
2. MAINZ
- 3.
- 4.
- 5.
- 6.
- 7.

3. *(complete the following applicable item)*

A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

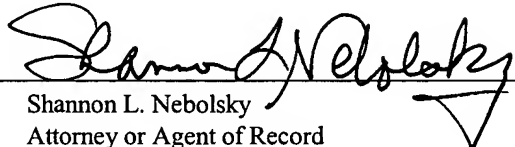
OR

B. ☐ At least one of the corrections is due to applicant's error and the fee therefore, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is check for \$25.00.

☐ Charge Account _____ \$25.00.

Dated: August 14, 2003


Shannon L. Nebolsky
Attorney or Agent of Record
Registration No. 41,217

WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606-3913
Telephone: 312/655-1500

RECEIVED

AUG 20 2003

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

TECH CENTER 1600/2900

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the MAIL STOP PATENT APPLICATION/Commissioner for Patents/PO Box 1450/Alexandria/Virginia 22313-1450

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office



Signature

Date: August 14, 2003

Shannon L. Nebolsky

(type or print name of person certifying)